



Office of the Chief Executive Officer

Company No: GL 12
04-01, West Block,
World Trade Center, Echelon Square,
Colombo 00100, Sri Lanka.

Voice : +94 11 2356500
Fax : +94 11 2440165
rajeeva@cse.lk
www.cse.lk

21st October 2025

To: Chief Executive Officers/Managing Directors of Trading, Depository and Clearing Participants

CIRCULAR NO: 08-10-2025

Dear Sir / Madam,

DIRECTIVE ISSUED UNDER AND IN TERMS OF SECTION 16 (C) OF THE SECURITIES AND EXCHANGE COMMISSION OF SRI LANKA ACT NO 19 OF 2021 (SEC ACT) READ WITH SECTIONS 16 (A) 16 (K) 16 (L) AND 16(X) OF THE SEC ACT

COMPLIANCE WITH THE APPLICABLE LAWS AND RULES, DIRECTIONS, REGULATIONS, GUIDELINES AND CIRCULARS ISSUED BY THE FINANCIAL INTELLIGENCE UNIT OF SRI LANKA (FIU) RELATING TO ANTI-MONEY LAUNDERING (AML), COUNTERING THE FINANCING OF TERRORISM (CFT) AND COUNTERING PROLIFERATION FINANCING (CPF)

Please find enclosed a copy of Directive Dated 03rd October 2025 (SEC/DDG/2025/10/66) issued by the Securities and Exchange Commission of Sri Lanka (SEC) regarding the above subject, the content of which is self-explanatory.

As per the aforesaid Directive, **All Participants** are required to ensure compliance with the applicable Laws, Rules, Directions, Regulations, Guidelines and Circulars issued by the Financial Intelligence Unit of Sri Lanka in relation to the securities market to which the SEC is the supervisory authority.

Yours faithfully,

Rajeeva Bandaranaike
Chief Executive Officer

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