

Request for User Registration of CDS System for Decentralization & CDS Digital Front Desk

CDS Participant Name : **Participant Code :**

We hereby request the CDS to provide the CDS system access, as specified below, enabling us to use the CDS Digital Front Desk and MCSD system for performing tasks related to decentralization of Account Openings and Intra-Account-Transfer of securities.

Name and the NIC No. of the Authorised User: *(pls fill in using BLOCK CAPITALS)*

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e-Mail Address of the Authorised User: *(pls fill in using BLOCK CAPITALS)*

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Instructions for granting access to the user

[Please insert a tick (✓) in the relevant boxes according to the access the user should be granted]

| Required Access Level | |
|---|--------------------------|
| CDS Digital Front Desk | <input type="checkbox"/> |
| | |
| Viewing of Security Balances | <input type="checkbox"/> |
| Account Openings | |
| Entering | <input type="checkbox"/> |
| Authorizing | <input type="checkbox"/> |
| Master File Changes <i>(pls refer Terms & Conditions)</i> | |
| Entering | <input type="checkbox"/> |
| Authorizing | <input type="checkbox"/> |
| Intra-Transfers | |
| Entering | <input type="checkbox"/> |
| Incoming authorizing | <input type="checkbox"/> |
| Outgoing authorizing | <input type="checkbox"/> |

.....
 Required Access Effective Date

We hereby confirm that;

- Mr.....(name), (designation) of our institution is duly authorized to utilize the CDS System for Decentralization and CDS Digital Front Desk;
- the information given above is true and correct and the CDS shall be absolved from any liability for loss/damage incurred as a result of activating the said facilities based on incorrect/false information provided by us; and,
- we have read and understood the terms and conditions set out herein.

Signature of the User:

CEO/Compliance Officer

.....
Name
Signature
Date

Terms & Conditions

Proprietary Rights: The Participant acknowledges that the software underlying the said systems are the legal property of the CDS/the CDS is duly licensed to utilize the same. The permission given by the CDS to access the systems will not convey any proprietary or ownership rights in the above software. The account holder shall not modify, translate, disassemble, decompile or reverse engineer the software underlying the systems or attempt to do so or create any derivative product based on the software.

Safeguard: Users who are given access to the system shall; - commit the username & password to memory and not record it in any form including written or electronic. – Keep the password confidential and do not reveal the same to a third party. – Not let an unauthorized person to have access to devices or leave them unattended while logged in to the system(s). The CDS shall not be responsible for any malicious programs including computer virus, problems or malfunctions resulting from a malicious application, or related problems that may be associated with accessing the internet. It shall be the responsibility of the Participant to update its virus guard software, apply all security patches for its operating system and to install a host firewall on its Access Device.

Liability: The CDS shall under no circumstances be liable to the participant/user if the access is not available to the CDS front desk/MCSD System in the desired manner for reasons including but not limited to natural calamities, legal restraints, faults in the telecommunication network or network failure or any other reason beyond the control of the CDS. For damages whatsoever whether such damages are direct, indirect, incidental, consequential and irrespective of whether any claim is based on loss of revenue, interruption of business, or any loss of any character or nature.

The illegal or improper use of the access to the said system(s) by the Participant/user shall render the user liable for payment of financial charges as determined by the CDS and may result in suspension of the access to the system(s).

Master File Changes: The participant shall only perform master file change(s), if there is a valid registration under the particular Participant/user. If there is no new registration, all the other master file change requests submitted by the account holder should be forwarded to the CDS.

eMail Notifications: The provided email address will be used to send notifications to the Participant according to CDS Rules and the Operational Guidelines and Procedures.

Non-Transferability: The right to access the CDS Digital Front Desk and MCSD system are not transferable by the user under any circumstance and shall be used only by the said user. The participant shall agree to immediately notify any changes to the user access in writing to the CDS.

Notices: The CDS may send notices pertaining to the CDS Digital Front Desk and MCSD system in any one of the following manner;

- Via Circulars, changes to the CDS Rules or CDS Guidelines
- Via e-mails to the e-mail address notified to CDS at the time of registration.
- Via hand delivery or post to the postal address of the Participant.
- The CDS may also publish notices of a general nature, which are applicable to all system users, on the CDS and CSE web sites. All such notices will have the same effect as a notice served individually to each user.

All proprietary rights in the information received shall remain the property of the CDS. Reproduction, redistribution and transmission of any information contained in the CDS System