

30 January 2020

To : All Chief Executive Officers of Member Firms
All Heads of Custodian Banks

CIRCULAR NO: 11-01-2020

Dear Sir/Madam,

Revised CDS Intra Account Transfer Form - CDS 6B

Please find the revised Intra Account Transfer Form (CDS 6 B) of the Central Depository Systems (Pvt.) Ltd. ('CDS'), to be utilize in the event of a transfer of shares between Stockbroker Firms (Change of Stockbroker Firm) with effect from 3rd February 2020, pursuant to the implementation of the "Decentralized Intra Account Transfer" process, which is enclosed as an Annex hereto.

As communicated at the awareness sessions, Stockbroker Firms are required to retain the respective copy with the Account holders original signature, for future references.

Please note that CDS will accept transfer documents already completed by the account holders for intra account transfers using Form (CDS 6) till 10th February 2020.

The aforesaid Forms are made available at the Administrative Division of the Colombo Stock Exchange to be purchased by Stockbroker Firms. Further, the said Forms are available to be downloaded from the website of the CDS, www.cds.lk.

Yours faithfully,



Nalin Fonseka
Head of Central Depository Systems

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REQUEST FOR INTRA-ACCOUNT TRANSFER OF SECURITIES

Name of Account: _____
 Address: _____

(1) I/We* wish to transfer the following securities out of my/our* account No:

 With _____ whose consent is given below.
 (participant's name)

Quantity		Company Code		Type	Sub-Type	Request Key	Ref No.

Signature/s of individual account holder/s / Due signature/s and/or Common Seal for body corporates.
 1. _____ 2. _____ 3. _____
 Date: _____

(2) Name of participant: _____
 We confirm the authenticity of the signature/s of the above Account Holder/s and hereby authorise the transfer of the above mentioned securities out of the above account held through us.
 Authorised signature: _____
 Name and Designation: _____
 Date: _____

(3) *I/We request that the securities mentioned in (1) above be transferred to my/our* account No:
 account No:
 With _____
 (participant's name)
 Signature/s of individual account holder/s / Due signature/s and/or Common Seal for body corporates.
 1. _____ 2. _____ 3. _____

Date: _____
 * Delete accordingly

Request Key to be filled in by the Receiving Broker & Transfer Reference number to be filled in by Sending Broker*

Authorised
 Signature and
 Stamp of
 Participant