

SECTION 15

Release of CDS information

(a) Information pertaining to a Client Account

The CDS shall release information pertaining to a Client Account;

- i. upon a written request being made by the relevant Participant.
- ii. upon a written request being made by an Account Holder.
(Such information shall be forwarded by the CDS to the registered address/email address/telephone number of such Account Holder or handed over to the Account Holder upon verification of proper identification).
- iii. upon a written request being made by the relevant Company Secretary.
- iv. if required by any applicable law or an Order of Court.
- v. upon a written request being made by the SEC.
- vi. upon a written request being made by the Financial Intelligence Unit of the Central Bank of Sri Lanka (FIU).

(b) Information pertaining to the settlement of funds

The CDS shall release information pertaining to the settlement of funds to the settlement bank/s of the CDS, as provided in Section 10 of these Rules.